

Docket No: 1019-US SEP 10 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE 2800 MAIL ROOM

Patent Application

In Re:

Robert K. Jenner

Serial No:

09/773,944

Filed:

02/01/2001

For:

Optical Component Plastic Deformation Control

Process

Group: 2877

Examiner: Unknown

MAILING CERTIFICATE

I, Elizabeth S. Clemente, certify that this correspondence was placed in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231 and I have a reasonable basis to believe that it will be deposited with the US Postal Service as first class mail, postage prepaid, on or before

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

This Information Disclosure Statement is submitted:

under 37 CFR 1.97(b) [before first office action] or under 37 CFR 1.97(c); or [] under 37 CFR 1.97(d)

Statement Under 37 CFR 1.97(e)

Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or

No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Enclosed herewith is form PTO-1449:

[X] Copies of the cited references are enclosed.

The listed references were cited in the enclosed International Search Report in a counterpart [] The listed in foreign application.

| Concis | e explanation requirements are satisfied by: |
|-----------|--|
| [] | the explanation provided on the attached sheet or 1449 form. |
| [] | submission of the enclosed International Search Report. |
| [] | the enclosed English language abstract. |
| It is rec | quested that the information disclosed herein be made of record in this application. |
| | Respectfully submitted, |
| | AXSUN Technologies, Inc. |
| | By J. Mat |
| | J Grant Houston Registration No. 35,900 Telephone: (978) 262-0049 Facsimile: (978) 262-0035 |

Dated: 4 September 2001